

Date of meeting      Monday, 18th November, 2013  
 Time                      7.00 pm  
 Venue                    Training Room 1 - Civic Offices, Merrial Street,  
 Newcastle-under-Lyme, Staffordshire, ST5 2AG  
 Contact                    Julia Cleary

# Audit and Risk Committee

## AGENDA

### PART 1 – OPEN AGENDA

- 1      **Apologies**
- 2      **DECLARATIONS OF INTEREST**  
        To receive Declarations of Interest from Members on items included in the agenda
- 3      **MINUTES OF PREVIOUS MEETINGS** **(Pages 1 - 4)**  
        To consider the minutes of the previous meeting.
- 4      **Health and Safety April 2013 to Oct 2013** **(Pages 5 - 12)**
- 5      **Corporate Risk Management July to September 2013** **(Pages 13 - 22)**
- 6      **Treasury Management Half Yearly Report 2013** **(Pages 23 - 32)**
- 7      **Annual Audit and Inspection Letter 2012/13** **(Pages 33 - 48)**
- 8      **Review of the Council's Arrangements for Securing Financial Resilience** **(Pages 49 - 76)**
- 9      **Internal Audit Progress Report Quarter 2** **(Pages 77 - 90)**
- 10     **Adoption of Internal Audit high Risk Recommendations and Summary of Assurance 01 July to 30 September 2013** **(Pages 91 - 96)**
- 11     **URGENT BUSINESS**  
        To consider any business which is urgent within the meaning of Section 100B(4) of the Local Government Act 1972

**Members:**      Councillors Waring (Chair), Loades (Vice-Chair), Mrs Peers, Mrs Cornes, Hambleton, Taylor.J and Rout

**Members of the Council:** If you identify any personal training/development requirements from any of the items included in this agenda or through issues raised during the meeting, please bring them to the attention of the Democratic Services Officer at the close of the meeting.

**Meeting Quorums :-** 16+= 5 Members; 10-15=4 Members; 5-9=3 Members; 5 or less = 2 Members.

Officers will be in attendance prior to the meeting for informal discussions on agenda items.